Capacity of countries to reduce biological invasions

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34 Abstract

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36	The extent and impacts of biological invasions on biodiversity are largely shaped by an array of socio-
37	ecological predictors, which exhibit high variation among countries. Yet a global synthetic perspective of
38	how these factors vary across countries is currently lacking. Here, we investigate how a set of five socio-
39	ecological predictors (Governance, Trade, Environmental Performance, Lifestyle and Education,
40	Innovation) explain i) country-level established alien species (EAS) richness of eight taxonomic groups,
41	and ii) country capacity to prevent and manage biological invasions and their impacts. Trade and
42	Governance together best predicted the average EAS richness, increasing variance explained by up to
43	54% compared to models based on climatic and spatial variables only. Country-level EAS richness
44	increased strongly with Trade, whereas high level of Governance resulted in lower EAS richness.
45	Historical (1996) levels of Governance and Trade better explained response variables than current (2015)
46	levels. Thus, our results reveal a historical legacy of these two predictors with profound implications for
47	the future of biological invasions. We therefore used Governance and Trade to define a two-dimensional
48	socio-economic space in which the position of a country captures its capacity to address issues of
49	biological invasions. Our results provide novel insights into the complex relationship between socio-
50	ecological predictors and biological invasions. Further, we highlight the need for designing better policies
51	and management measures for alien species, and for integrating biological invasions in global
52	environmental scenarios.
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55	Keywords: environmental performance, governance, innovation, invasive alien species, lifestyle,
56	scenarios, trade
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61 Introduction

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The proliferation of alien species -i.e. species that are intentionally or unintentionally introduced by 63 humans in regions beyond their native ranges – has become a signature of human-induced global 64 65 environmental change. A substantial proportion of these species becomes a permanent addition to regional biota (established alien species – EAS hereafter), and a subset of these species, known as 66 invasive alien species (IAS), are a leading cause of biodiversity decline (1-3) and can adversely affect 67 human livelihoods (4–7). Globally, the number of EAS has been steadily increasing in recent decades, 68 69 and this trend does not show any sign of saturation (8). Meanwhile, the current state and particularly the 70 future trajectories of EAS impacts remain highly uncertain (9, 10). Still, there is a distinct lack of 71 consideration for the impacts of biological invasions in developing long-term global biodiversity 72 conservation frameworks and scenarios (11, 12). 73 Environmental and economic factors have been repeatedly demonstrated to be important predictors for 74 75 biological invasions at the global scale (13-16). Additionally, experts also consider political, social and technological factors to be important (10, 17). For example, countries with low Human Development 76 77 Index (18) are severely constrained in their capacity to manage biological invasions and mitigate their 78 impacts (19). In addition, the relationship between governance and biological invasions is complex, as 79 countries with high levels of governance (i.e. countries in which governments are selected, monitored and 80 replaced democratically, in which governments can effectively formulate and implement sound policies, 81 and in which citizens and the state respect the institutions that govern economic and social interactions among them (20)) are more susceptible to biological invasions if they also have high per capita-GDP (21). 82 83 Low levels of governance and high levels of corruption have also been associated with higher exports of 84 alien species, as regulations of outbound pathways are poorly implemented and subsequently lead to 85 greater potential rates of introduction in importing countries (22). In many instances, species are also 86 deliberately released because of their perceived or realized economic benefits. For instance, plants of the 87 genera Melinis and Urochloa were released in Brazil as livestock feed, but are now known to fundamentally alter the ecosystems in which it is established via dominance and changes in fire regimes 88 89 (23). In response to growing threats from biological invasions, many countries with high richness of alien species have expanded and implemented new legislations on alien species since the 1990s (24). 90 Quantitative analyses are nonetheless scarce for political, social and technological predictors. 91 92 93 Understanding how socio-ecological predictors together shape the current and future state of biological

94 invasions at the country scale is crucial to design and implement efficient policies and future global

95 scenarios for biological invasions (11, 17). Recent global studies considering the combined role of social,

96 political, environmental and socio-economic predictors for the future of biological invasions have mostly

- 97 relied on expert knowledge (10, 25). Therefore, there is a need for a comprehensive quantitative
- 98 assessment of these relationships.
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Here, we compare 125 countries (excluding some regions separate from mainland, which can have 100 different invasion dynamics) against a set of political, economic, environmental, social and technological 101 102 predictors, which are considered to be essential drivers of biological invasions (10). For each country, we 103 quantify current and – if available – historical conditions using five predictors (Governance, Trade, 104 Environmental performance, Lifestyle and Education, and Innovation; Table 1). We i) examine the relationships between these predictors and then relate their ii) current (2015) and iii) past (1996) values to 105 106 EAS richness per country. As a response variable, we use country-level EAS richness of eight taxonomic 107 groups (plants, ants, amphibians, reptiles, fishes, birds and spiders) based on the most comprehensive 108 country-level data set on EAS richness (15). Moreover, we relate these predictors to the national response

- 109 capacities to manage and mitigate biological invasions and their impacts presented in (19).
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Based on the results from these analyses, we show how Governance and Trade can be used to identify a two-dimensional, socio-economic space describing the capacity of countries to mitigate alien species spread and impact. We assess how different geopolitical groups of countries (Figure S1) perform in this socio-economic space. Finally, we show how countries and geopolitical regions have changed their position in this socio-economic space since 1996, and explain why divergences between country

trajectories are crucial to capture the main challenges they are currently facing to tackle invasions.

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- 119 Results
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Relationships between predictors. Governance (quantified as the mean of Rule of Law, Government
Effectiveness, Voice and Accountability and Control of Corruption; Table 1), Environment (measured by
the Environmental Performance Index, which includes land use; Table 1) and Lifestyle and Education

- 124 (quantified as the mean of the average level of education of a population, the Information Globalization
- 125 Index and the Cultural Globalization Index; Table 1) were highly correlated $(0.77 \le r \le 0.85)$ across
- 126 countries, and less so with Trade (measured as total imports in Good and Services; $r \le 0.59$). Innovation
- 127 (measured by the Global Innovation Index) was moderately correlated with all other predictors ($0.59 \le r \le r \le 10^{-10}$

128 0.62). A principal component analysis (PCA) confirmed the distinction between these three groups of129 predictors (Figure S2g).

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Predictors and numbers of established alien species in countries. Using recent (2015) data on 131 132 predictors, all mixed-effects models using only one of the five predictors (in addition to climatic and spatial variables, including mean annual temperature, mean annual precipitation, country area, sampling 133 effort, mainland / inland status and broad geographical region; see Methods) significantly explained 134 observed overall richness of EAS, increasing marginal r^2 values by between 8% and 25% in absolute 135 values (between 15% and 46% relative increase) compared to models only including climatic and spatial 136 137 variables (Table 2). Model comparison using the Akaike Information Criterion corrected for small-sample size (AICc) revealed that Trade was the best predictor of richness for overall EAS data and for most 138 139 individual taxonomic groups, i.e. plants (together with Governance), ants, amphibians, reptiles, fishes and 140 birds (Table 2, Figure S3). Meanwhile, for mammals and spiders, Lifestyle and Education was the most 141 important predictor. The effect size varied between taxonomic groups, being mostly null for ants and representing almost half of the marginal variance for fishes. The relationships between several predictors 142 and EAS richness were non-linear for most taxa. For Trade, the relationship was positive quadratic for 143 144 most taxonomic groups, indicating an acceleration of EAS richness as Trade increased (the relationship was cubic, slightly decelerating at high Trade values for birds). For Innovation, the relationship was also 145 quadratic and accelerating for all taxa. In contrast, the relationships between EAS richness and 146 Governance, Environmental Performance and Lifestyle and Education were either quadratic or cubic and 147 148 tended to decrease at high values (Table 2, Figure S3). 149 The combination of Trade and Governance levels of 1996 or averaged over 1996–2015 (i.e. considering 150 both predictors as fixed effects, without interaction) explained EAS richness better than any predictor 151

152 individually or combining Trade and Governance for 2015 (Figure 1, Table S1). Models only including

153 historical Trade (i.e. 1996 or averaged over 1996–2015) resulted in the best-fitting models for plants and

amphibians, and for overall EAS richness. Models using the 2015 data were always worse than models

- 155 using historical data.
- 156
- 157 **Predictors and national response capacities.** Lifestyle and Education better explained national
- 158 proactive capacity to prevent or rapidly respond to emerging IAS than the other predictors for the 2015
- data (marginal $r^2 = 0.47$; Table 2, Figure S4). The second-best model was the one incorporating
- 160 Governance (marginal $r^2 = 0.32$). For national reactive capacity, i.e. the expertise, resources and
- 161 willingness to mitigate negative impacts caused by IAS, Trade had the lowest AIC value, but Lifestyle

and Education had the highest marginal r^2 (Table 2). Model performances were higher for proactive than for reactive capacities (Table 2, Figure S4). Quadratic models performed best for all predictors for both

- 164 types of national capacity, with positive quadratic terms indicating a disproportional strong increase in
- 165 national capacity with increasing predictor values.
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167 The level of Lifestyle and Education in 2015 also better explained national proactive capacity than any

- 168 combination of historical predictors (Table S1). Average Governance between 1996 and 2015 was a
- 169 better predictor than any other model incorporating Governance or Trade. This model showed an
- acceleration of national proactive capacity with better Governance (Figure 2). Trade for 1996 was the best
- 171 predictor for reactive capacity and the relationships were linear for most taxonomic groups.
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173 Mapping countries according to national levels of predictors of invasions. The five predictors 174 selected here were interrelated, but Governance and Trade were the least correlated predictors (Figure 175 S2). Since their historical values were also overall the best (or amongst the best) predictors for both EAS richness and national capacities, we selected Governance and Trade to map countries in a two-176 dimensional space defined by these two predictors (Figure 3). This two-dimensional approach represents 177 178 the currently realized socio-economic space of country positions with respect to the main predictors of 179 biological invasion. Contrary to a PCA, whose axes would depend on the data for a given year, using Governance and Trade enables us to assess how countries change their position in time in this fixed socio-180 economic space (see next section). Capturing country trajectories through time is crucial to understand the 181 182 dynamics of biological invasions, since they depend on historical legacies.

183

184 Consistently with the intermediate, positive correlation between Governance and Trade mentioned above 185 (Figure S2), countries were roughly distributed within an elongated ellipse in the two-dimensional space (Figure 3). Importantly, however, they were not evenly distributed across this ellipse. A cluster analysis 186 187 revealed that countries can be grouped into four distinct clusters, closely matching the four sectors 188 defined by Governance and Trade (Figure S5). The lower-left section of the socio-economic space contained countries that were characterized by low levels of both Trade and Governance. This section 189 190 contained the highest number of countries, with 47 out of 125 countries, of which 23 are from the 27 African countries used in our analyses. The upper-right section contained 39 countries with high levels of 191 both Governance and Trade. This category mostly included Western European countries (26 out of 37 192 193 countries) and some countries from other continents, including Australia, New Zealand, USA, Canada, 194 Japan and Singapore. The upper-left section contained 23 countries with high levels of Trade but 195 relatively low levels of Governance. This section mostly included Asian countries (9 out of 22 countries).

196 Finally, the lower-right section contained the smallest number of countries (16 countries), which were 197 characterized by low levels of Trade and high levels of Governance. This section contained many island 198 countries. Asian, South-American and African countries were spread over all four sectors, with Asian

- countries showing the highest variability in their distribution (Figure 3).
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Temporal changes in predictors. Time lag phenomena are common in biological invasions, and our 201 202 analyses showed that historical data better explained the currently observed EAS richness. We therefore analyzed the trajectories of countries in the two-dimensional socio-economic space defined by 203 204 Governance and Trade during the past 20 years (Figure 4). All countries have experienced an increase in 205 level of Trade from 1996 to 2018, but changes in Governance were more variable. Countries from 206 continents with high levels of economic development (Australia, Europe and North America) 207 demonstrated high levels of Governance (Figure 4a). Their level of Governance nonetheless tended to 208 increase between 1996 and 2003, and then decreased until 2018. It was even lower in 2018 than in 1996 209 for Northern America (-0.11 in our standardized scale for this predictor). Governance in Northern African 210 countries has remained at a low level over this period. In contrast, West and East African countries started 211 at a similar level as Northern African countries but saw the second and third largest increase in their level 212 of Governance over time (+0.17 and +016), especially after 2013 for West Africa. Asian countries experienced the largest increase in their level of Governance on average (+0.18). European countries that 213 are not members of the EU experienced a moderate increase (+0.1). Asian countries in the Middle-East 214 saw a rapid increase in the level of Governance between 1996 and 2000 (+0.32), with stable levels of 215 216 Trade. After 2000, this trend reversed, with a stagnation in the level of Governance and an increase in the 217 level of Trade. Middle Eastern, Caribbean, and especially Southern African countries saw the largest 218 declines in their levels of Governance on average (-0.13, -0.18 and -0.27, respectively). 219

220 Results were much more heterogeneous at the country level, with some countries having large increases,

decreases or fluctuations in their levels of Governance (Figure 4b,c). Overall, countries with high levels

of Governance in 1996 mostly remained close to their initial level. In contrast, countries with intermediate

- or low levels of Governance changed in either direction. Georgia had the largest increase in level of
- 224 Governance worldwide (+1.44; Figure 4b), whereas Venezuela had the largest decline (-1.18). Zimbabwe
- had the second largest decline over only 13 years (i.e. its decline was larger in 13 years than for any other
- country in 22 years; -1.1), then increased again, but without reaching its former level of Governance
- 227 (Figure 4c). Ivory Coast had a similar trajectory, but it recovered better and ended with a higher level of
- 228 Governance in 2018 than in 1996, making the third largest increase in only 13 years (+0.9).
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231 Discussion

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233 Socio-ecological predictors of biological invasions. Here, we provide the first comprehensive 234 quantitative analysis of how countries perform in relation to a set of key socio-ecological predictors of biological invasions and of the capacity of countries to mitigate their impacts. Although economic and 235 236 environmental factors are often considered important and are well-understood, we show that societal, 237 technological and especially political factors are also essential for obtaining a comprehensive perspective 238 on spatial and temporal changes in biological invasions. As expected from other studies (10, 14, 25–28), 239 Trade was consistently the best predictor of EAS richness in one-predictor models, whereas the 240 combination of Trade and Governance as main effects best explained EAS richness for most taxa in two-241 predictor models. These two predictors capture different aspects of biological invasions. Trade can 242 facilitate the transportation of propagules and is therefore primarily linked to the introduction stage of 243 biological invasions (29). In contrast, Governance is related to all invasion stages, from introduction to establishment and spread of alien species, as it is a proxy for the capacity and willingness to design and 244 implement adequate policies to prevent alien species from transiting from one stage to the other. 245 246 Nonetheless, Governance appears to limit biological invasions at high levels only (Figure 1). This likely 247 reflects the complex interactions between Governance and other factors, including that awareness and willingness to respond decisively to biological invasions may increase only once substantial negative 248 impacts of IAS have been widely observed in a country. 249 250

251 Lifestyle and Education is another predictor that proved to be important in our analyses but has been 252 largely neglected so far. Lifestyle and Education was the best predictor of EAS richness for mammals and 253 spiders when considering the 2015 data only. Lifestyle and Education was calculated by averaging the 254 educational level of the population, the information globalization index and the cultural globalization 255 index. Doing so enabled us to capture the potential level of understanding of complex issues such as 256 biological invasions, but also connections with other cultures and countries, and the perception of nature 257 (Table 1). Lifestyle and Education therefore has implications for alien species dispersal and 258 establishment, e.g. via recreational activities and tourism, or mode of consumption. Importantly, Lifestyle 259 and Education was also the best predictor for proactive national capacity and a good predictor for reactive national capacity. It is difficult at this stage to explain if this relationship is only correlative (countries 260 261 investing in the education of their populations also tend to implement environmental policies) or if there is a causal relationship (populations with high levels of education may vote for governments more 262 263 inclined to design and implement environmental laws). Our results nonetheless show that factors related

to education and likely environmental awareness of a population, are important for predicting EASrichness and how countries will assess and react to the impacts caused by IAS.

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Effects of historical legacies on current levels of biological invasions. Our results underscore that
invasion debt plays a crucial role in explaining current levels of biological invasions (13). We found that
historical data, where available (i.e. for Trade, Governance), consistently better explained current
numbers of EAS than did recent data. Due to a lack of predictor data prior to 1996, we were not able to
analyze if – and for how long – historical legacies extend beyond this time. Time lags may also occur for
other predictors, for which historical data were not available.

273

274 Biological invasions are the result of a range of processes that operate at different stages of the invasion 275 process (30). For instance, while new alien species are introduced in response to changes in propagule 276 pressure, introduced species become naturalized in response to human-induced changes in the recipient 277 region and societal responses (e.g. IAS management, legislation) are adopted in response to observed or anticipated negative impacts (e.g. 24). These processes may be associated with substantial lag times: 278 279 newly introduced species are often detected after a recording lag (31, 32), as does their spread to new 280 locations and conversely, the adoption of effective management (33). Similarly, our findings show that 281 historical levels of Governance, which are essential for the design and implementation of policies and the management of IAS, have an imprint on current EAS richness in countries. In particular, countries with 282 higher levels of Governance 20 years ago tended to be less invaded than countries with intermediate 283 284 Governance. Complex interactions between predictors suggest that historical legacies may also apply to other predictors. For example, since Lifestyle and Education was the most important predictor for 285 286 explaining proactive capacities of countries to address issues related to IAS (Figure S4), its relationship 287 with EAS richness is likely to be subject to time lag. Past Lifestyle and Education may also be a good 288 predictor of current EAS richness, and it will likely be highly important for shaping future trajectories of 289 EAS richness, as policies and management actions can take time to have effect. 290

291 A global picture of country positions in the socio-economic space and implications for invasive alien

292 species management and policies. Analyses of recent historical trajectories show that Trade has been

293 increasing for all countries and will likely continue to do so in the next decades, with global freight

- demands predicted to increase three- to seven-fold between 2015 and 2050 (34, 35). Recent research has
- shown than under a business as usual scenario, we can expect a global increase in EAS richness of 36%
- between 2005 and 2050. The intensification of Trade will necessarily be followed by large increases in

species introductions, and may therefore cause EAS richness increase to largely exceed the business asusual estimations.

299

For Governance, recent historical trajectories are much less uniform across regions and countries. In 300 301 particular, there are strong differences between different regions of the world, with increases for some regions, such as non-EU Europe and Asia, and declines for others, such as Central America and Southern 302 Africa (Figure 4). Differences are even larger at the country level and future country-specific projections 303 for biological invasions, which are currently missing, would likely be highly uncertain. Overall, 304 305 Governance appears to have an effect on EAS richness at high levels only. Among geopolitical regions 306 whose level of Governance increased between 1996 and 2018 (Figure 4a), increases appear to be 307 insufficient to reach the level of Governance at which it has an effect. Worse, the level of Governance of 308 most geopolitical regions stagnated or even decreased over this period. Unless this trend is reversed, this 309 will likely exacerbate the establishment of alien species whose rate of introduction will have also been 310 increased by increases in Trade.

311

Our results nonetheless show that countries strongly differ regarding socio-ecological predictors of 312 invasions. All the predictors we quantified in these analyses are related to different aspects of biological 313 314 invasions and can therefore influence the future state of biological invasions (10, 17). Although 315 understanding the interactions between these predictors is beyond the scope of this publication, this implies that there are substantial opportunities for countries to mitigate the impacts of biological 316 317 invasions in the future (e.g. identifying predictors with the largest leverage or the potential to improve country ability to address biological invasions). Given the time lags involved in biological invasions, and 318 319 the historical legacies of socio-ecological predictors on EAS richness, delays in positive changes, 320 especially concerning Governance, may result in important long-term consequences for biodiversity. 321

322 Scenarios on biodiversity change to inform decision-making are under development (36, 37), but 323 biological invasions are not considered in these analytical frameworks, despite the recognition of the 324 importance of their integration into global environmental policies (e.g. Sustainable Development Goals, 325 (38)). The on-going discussion on global targets for biodiversity conservation for the decades to come, including revised and specific targets on biological invasions (39), highlights that integrating biological 326 invasions into thematically broad assessments of environmental change is crucial. By revealing that large 327 328 increases in levels of Governance are required to mitigate increases in EAS richness resulting from the 329 expected intensification of Trade, and identifying the regions of the world where such changes are 330 critically needed, our socio-economic space for biological invasions paves the way for such integration.

331

332 Methods

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Predictor selection and data. Based on previous findings (10, 17), we considered five main predictors 334 335 (Table 1): i) Governance, i.e. the capacity of a country to design and implement policies, including policies aimed at addressing biological invasions; ii) Trade, as the most important predictor of propagule 336 pressure; iii) Environmental Performance Index, i.e. the level of sustainable use of abiotic and biotic 337 components of the recipient environment, including land use; iv) Lifestyle and Education, i.e. factors 338 influencing people's values and perception of nature, their understanding of the issue and their 339 340 connections with other cultures and countries, with implications for alien species dispersal and establishment, e.g. via recreational activities and tourism, or mode of consumption; and v) Innovation, i.e. 341 342 technological progress which can enhance the knowledge and technological means to manage biological 343 invasions. To quantify each predictor, we searched for data available at the country scale from open 344 access repositories with good transparency about the methods used to collate these variables, to ensure data quality and long-term maintenance. This resulted in a total of 12 variables extracted from the World 345 Bank data repository (40), the KOF Swiss Economic Institute (41, 42), the Global Innovation Index (43) 346 347 and the Wittgenstein Centre for Demography and Global Human Capital (44) (Table 1). 348 349 We extracted data on the selected variables for 2015, as this year corresponded to the final year for which 350 data of the response variable, EAS richness, have been considered in our data set (see below). When data

for this year were not available for a country, we used data from the most recent preceding year until 2010. To explore potential legacies of historical predictor conditions, we extracted historical data for Governance and Trade for each year from 1996 onwards, which was the first year for which these data were available for Governance; for the other predictors, data were available only for the more recent history. Altogether, predictor data were available for 125 countries (excluding some regions separate from mainland, which can have different invasion dynamics), which were then considered in the analyses (see Figure S1).

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359 Further, following (15) we extracted mean annual temperature (BIO1) and mean annual precipitation

360 (BIO12) for the years 1960 to 1990 from WorldClim (www.worldclim.org); for each country, we

361 calculated mean annual temperature and total annual precipitation as the mean of raster cells within

- 362 country borders. To control for area as well as sampling effect, we included country area (40) and
- 363 sampling effort as additional predictor variables in our models. Sampling effort was measured using the

metric proposed by (45), which is based on the number of GBIF records per unit area and accounting for
 native species richness. For reptiles, fishes and spiders, taxon-specific sampling effort was not available.
 366

367 Established alien species richness data. We calculated country-specific levels of invasion based on data 368 of EAS richness of eight taxonomic groups for which global distribution data were available (plants, ants, 369 amphibians, reptiles, fishes, birds, mammals and spiders) (15). Following (15), overall EAS richness was 370 calculated by converting absolute EAS richness to a relative scale by dividing species richness by the 371 maximum richness over all countries, resulting in values ranging from 0 to 1. Overall alien species 372 richness for each country was then computed as the mean of relative richness values across taxonomic 373 groups.

374

National capacity data. Data representing countries' capacity for reactive and proactive responses to IAS was obtained from (19). Proactive national capacity assesses the capacity of a country to prevent or early contain emerging incursions by IAS. Reactive national capacity accounts for the expertise, resources and willingness to mitigate the damage from IAS that are present in a country, which is essential to make IAS policy effective.

380

Variable selection. The 12 socio-ecological variables selected to describe the main predictors of 381 biological invasions (i.e. excluding climatic variables, country area and sampling effort) were interrelated 382 in complex ways, resulting in collinearities. To keep predictors as independent from each other as 383 384 possible and better disentangle their respective effects on the response variables described below, we imposed internal coherence between variables used to characterize a given predictor. To be coherent, 385 386 variables characterizing a predictor had to be more correlated with each other than with variables 387 characterizing other predictors. Variables that belong to a category but are more correlated with another 388 likely indicate causal relationships between specific aspects of the two predictors that would cause a high 389 correlation between predictors if they were included. Although understanding the causal relationships 390 between predictors and the effects on biological invasions is interesting, this is beyond the scope of this 391 study. Rather, maximizing independence between the predictors allows to better disentangle their 392 respective effects on the response variables described below, whereas high correlations would lead to 393 similar results in the analyses, rendering the analysis of the relationship difficult to interpret. We therefore discarded political globalization (initially considered to characterize Governance), which was more 394 395 strongly correlated with imports (characterizing Trade) than with any of the other variables within its 396 category (Figure S2); and per capita Gross National Income (initially considered to characterize Trade), 397 which was more strongly correlated with control of corruption, government effectiveness and rule of law

398 (characterizing Governance) than with imports. The remaining 10 socio-ecological variables were

399 standardized to mean zero and unit standard deviation and then averaged per predictor for each country

400 and year. In doing so, we avoided potential collinearity issues, reduced complexity and facilitated the

- 401 interpretation of results (46).
- 402

403 Analyzing the relationships between predictors and established alien species richness. We 404 investigated the relationship between the five predictors and EAS richness per country with linear mixed405 effects models (LMMs) using the lme function from the nlme R package v.3.1 (47, 48). To statistically

identify non-linearities observed in preliminary analyses using splines, we fitted linear, second-order

407 (quadratic) and third-order (cubic) models for each individual predictor. Quadratic models enabled us to

408 detect accelerating (i.e. positive coefficients) or decelerating (i.e. negative coefficients) relationships.

409 Similarly, we used cubic models to identify both accelerating and decelerating relationships across the

410 range of values for a predictor.

411

We also incorporated mean annual temperature, total annual precipitation, mainland or island status of the 412 country (represented by a categorical variable), as well as country area, sampling effort (ln-transformed) 413 414 and their interaction (or only country area when sampling effort was not available for a taxonomic group) as fixed effects. We used overall EAS richness (In-transformed to satisfy assumptions of normality of 415 residuals and variance homogeneity) and EAS richness of each taxonomic group individually (In [EAS 416 richness + 1] transformed) as response variables. To account for spatial autocorrelation, we used broad 417 418 geographical regions (level 2 nested in level 1 of the Biodiversity Information Standards – TDWG, (45)) as random effects. Alternative generalized linear mixed models using binomial and Poisson link functions 419 420 on untransformed response variables provided qualitatively similar results (not shown), but could not be 421 tested for spatial autocorrelation due to long computation times.

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423 For each predictor X, we therefore assessed the following three models:

425	linear:	$S \sim X + A + E + A * E + T + P + M + (1 TDWG1/TDWG2)$	Eq. 1
426	quadratic:	$S \sim X^2 + X + A + E + A \times E + T + P + M + (1 TDWG1/TDWG2)$	Eq. 2
427	cubic:	$S \sim X^3 + X^2 + X + A + E + A \times E + T + P + M + (1 TDWG1/TDWG2)$	Eq. 3
428			
429			
430	where S is EAS	richness, X is a socio-economic predictor, A is country area, E is sampling effort (A	A was

431 used instead of $A + E + A \times E$ for reptiles, fishes and spiders, for which sampling effort was not

available), T is mean annual temperature, P is mean annual precipitation, M is mainland or island status 432 and TDWG1 and TDWG2 are the levels 1 and 2 of the Biodiversity Information Standards. To avoid 433 issues of data dredging, and because the focus of this study is on socio-economic predictors, polynomials 434 435 were not tested on the spatial and climatic variables. 436 We assessed model performance using AICc (49), computed with the AICc function in the AICcmodavg 437 R package v2.3-1 (50), and using the marginal variance explained after accounting for random effects, 438 computed with the r^2 nakagawa function in the performance R package v0.6.1 (51). We reported the 439 440 model with the lowest AICc value for each predictor (due to the large number and variety of models 441 compared, we do not report all \triangle AICc values). 442 443 We also computed LMMs incorporating both Governance (G) and Trade (Tr) in the models (using the 444 linear, quadratic and cubic transformations for both predictors; Eqs 4-6). 445 linear: $S \sim G + Tr + A + E + A \times E + T + P + M + (1|TDWG1/TDWG2)$ 446 Eq. 4 quadratic: $S \sim G^2 + G + Tr^2 + Tr + A + E + A \times E + T + P + M + (1|TDWG1/TDWG2)$ 447 Eq. 5 cubic: $S \sim G^3 + G^2 + G + Tr^3 + Tr^2 + Tr + A + E + A \times E + T + P + M + (1|TDWG1/TDWG2)Eq.$ 448 449 6 450 Finally, for models using Governance and Trade as predictors, we performed analyses for historical 451 predictor conditions for 1996 and for the annual values averaged between 1996 and 2015 (historical data 452 were not available for the other three predictors). The same 125 countries were used in all analyses, 453 permitting comparison with respective models using the 2015 data. A lower AICc value for models using 454 1996 data than using 2015 data would reveal the historical legacy of these predictors on EAS richness. 455 Alternative models 456 457 In these analyses, we did not combine other predictors than Governance and Trade due to high 458 collinearity (see results for values). Incorporating additional predictors in exploratory analyses led to 459 460 Variance Inflation Factors > 3 in the models (results not shown). Note also that we did not use the axis of the PCA described above as predictors for two reasons: i) that would have prevented the exploration of 461 462 the effects of historical data due to lack of data for other predictors; ii) that enabled us to better explore 463 the effects of the different predictors on the different response variables we considered (see national 464 response capacities below).

466	Analyzing the relation	ships between predictors and national response capacities. We an	alyzed the
467	relevance of the five ma	ain predictors of invasions for the ability of countries to control and m	lanage
468	biological invasions, i.e	e. their national response capacities (19). We modified Eqs 1–6 using	proactive and
469	reactive national capaci	ities as response variables and removed the biological (T, P, M) and st	atistical (A,
470	E) predictors of EAS rie	chness (Eqs 7-12). We hypothesized that Governance, Environmental	l
471	Performance and Lifest	yle and Education should be positively correlated with proactive capa	city of a
472	country to prevent or ra	pidly respond to emerging incursions by IAS. As Trade is expected to	lead to
473	more species introducti	ons (14), which in turn should lead to more reactive measures due to	rising
474	awareness of the impac	ts of IAS, we argued that Trade should show a stronger correlation with	th the
475	reactive capacity of cou	intries to mitigate negative impacts caused by IAS already present. As	for EAS
476	richness, models were e	evaluated with current (2015) and historical (1996) predictor data, and	averaged
477	over the 1996–2015 per	riod.	
478			
479	linear:	$C \sim X + (1 TDWG1/TDWG2)$	Eq. 7
480	quadratic:	$C \sim X^2 + X + (1 TDWG1/TDWG2)$	Eq. 8
481	cubic:	$C \sim X^3 + X^2 + X + (1 TDWG1/TDWG2)$	Eq. 9
482			
483	linear:	$C \sim G + Tr + (1 TDWG1/TDWG2)$	Eq. 10
484	quadratic:	$C \sim G^2 + G + Tr^2 + Tr + (1 TDWG1/TDWG2)$	Eq. 11
485	cubic:	$C \sim G^3 + G^2 + G + Tr^3 + Tr^2 + Tr + (1 TDWG1/TDWG2)$	Eq. 12
486			
487	where C is proactive or	reactive national capacity and the other notations are as in Eqs $7-12$.	
488			
489	For all models, we teste	ed for residual spatial autocorrelation by constructing correlograms of	Moran's I in
490	relation to increasing di	istance between country centroids using the spline.correlog function in	1 the ncf R
491	package v1.2-9 (52). Si	gnificance was assessed using 95% confidence intervals, built from 1	000
492	bootstrapped randomiza	ations of the residuals (Figures S6, S7). All statistical analyses were p	erformed
493	with the R software v. 4	4.0.3 (48).	
494			
495	Visualization of count	ries in a two-dimensional socio-economic space. We mapped count	ries in a two-
496	dimensional space defin	ned by the 2015 levels of Governance and Trade. To facilitate the inte	rpretation of
497	results, countries were	assigned to different geopolitical regions. To identify groups of count	ries that
498	differ distinctly from ea	ach other, we applied two hierarchical clustering algorithms based on	distance
499	between countries in th	is socio-economic space. We used the complete-linkage and the Ward	methods in

500	the R f	unction hclust from the default stats package. To evaluate the number of clusters best separating
501	the cou	intries, we used the function NbClust from the NbClust R Package v3.0 (53), which evaluates the
502	numbe	r of clusters based on 30 different indices.
503		
504	We use	ed data from 2015 in the previous analyses because this corresponds to the most recent year for
505	which	EAS richness and national response capacity data were both available; as data for Governance and
506	Trade	were available until 2018, we mapped countries for the full range of data when investigating their
507	trajecto	ories in the socio-economic space through time.
508		
509	Data A	vailability. All data analyzed here are freely available from the original sources provided in Table
510	1. The	data used as predictors for the three time periods (1996, 1996-2015, 2015) have been compiled in a
511	single	CSV file available in supplementary material.
512		
513	Ackno	wledgements. This work was funded by the BiodivERsA-Belmont Forum Project "Alien
514	Scenar	ios" (GL, BL, SD, DM, FE: FWF project no I 4011-B32; NRP, CPG, EC: Project PCI2018-
515	092966	6, funded by FEDER/Ministerio de Ciencia e Innovación – Agencia Estatal de Investigación; MG
516	and IK	: BMBF/PT DLR 01LC1807C; HS: BMBF/PT DLR 01LC1807A; JMJ: BMBF/PT DLR
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628 Tables

- 629
- **Table 1.** Main predictors of biological invasions (as identified by (17)) and their corresponding descriptor
- 631 variables. Note that variables with * were discarded from analyses (see Methods).

Predictor	Relationship with biological invasions	Variable	Description	Source
Governance	The political context influences the capacity of a country to vote and apply appropriate policies and management actions to control and prevent the introduction of IAS.	Rule of Law	Perception of the extent to which the population has confidence in and abides by the rules of society, and in particular the quality of contract enforcement, property rights, the police, and the courts, as well as the likelihood of crime and violence.	The World Bank (36)
		Government Effectiveness	Perception of the quality of public services, the quality of the civil service and the degree of its independence from political pressures, the quality of policy formulation and implementation, and the credibility of the government's commitment to such policies.	The World Bank (36)
		Voice and Accountability	Perception of the extent to which a country's citizens are able to participate in selecting their government, as well as freedom of expression, freedom of association and a free media.	The World Bank (36)
		Control of Corruption	Perception of the extent to which public power is exercised for private gain, including both petty and grand forms of corruption, as well as "capture" of the state by elites and private interests.	The World Bank (36)
		Political Globalization*	Summary of the diffusion of countries' government policies and the ability to engage in international political cooperation.	KOF Swiss Economic Institute (37
Trade	The importation of goods and services into a country facilitates the	Imports in Good and Services Per capita Gross National	Value of all goods and other market services received from the rest of the world. Sum of a country's gross domestic product (GDP) plus	The World Bank (36) The World Bank (36)

	introduction of propagules.	Income (GNI)*	net income (positive or negative) from abroad, divided by population size.	
Environment	Environmental conditions, including disturbance, land cover, pollution, etc., can influence the establishment of alien species.	Environmental Performance Index (EPI)	Summary of the state of sustainability of countries, based on 32 performance indicators across 11 issue categories (Biodiversity and habitat, Ecosystem services, Fisheries, Water resources, Climate change, Pollution emissions, Agriculture, Waste management, Heavy metals, Sanitation and drinking water and Air quality).	(50)
Lifestyle and Education	People's lifestyle, their connections with other cultures and therefore geographical areas, itself influenced by education, can move and introduce propagules to novel environments. Lifestyle and education are also likely linked to other predictors, such as governance.	Average level of education of the population Information Globalization Index (de jure) Cultural Globalization Index (de jure)	Average of the maximum level of education across countries' inhabitants, using a scale from 1 to 5 to quantify levels of education. Summary of countries' ability to share information with other countries. Summary of countries' openness towards and the ability to understand and adopt foreign cultural influences	European Commission & Joint Research Centre (40) KOF Swiss Economic Institute (37 KOF Swiss Economic Institute (37
Innovation	Technological innovations can offer means to control and prevent the introduction of alien species, but may also facilitate trade activities and contribute to impact the environment.	Global Innovation Index	Summary of countries' capacity for, and success in, innovation, based on variables from multiple sources.	Cornell University, INSEAD, WIPO (39)

Table 2. Results of model fitting for explaining EAS richness and national capacities in 125 countries 635

based on the small-sample size corrected Akaike Information Criterion (AICc) for 2015. In bold are the 636 models with the lowest AICc values. The Δ AICc is the difference with the lowest AICc values over all

637 predictors and all polynomial forms. r² values are the marginal variance. Values in the first column are 638

those when only environmental predictors and random effects were included, and all predictors were 639

excluded (the marginal r^2 is therefore 0 for the national capacity models). Values between brackets

640

indicate the gains in marginal r^2 compared to models with no predictor. 641 E

Response variable	Governance	Trade	Environment	Lifestyle and Education	Technology
All taxa combined	Quadratic	Quadratic	Quadratic	Quadratic	Quadratic
$r^2 = 0.54$	$r^2 = 0.68$	$r^2 = 0.79$	$r^2 = 0.66$	$r^2 = 0.64$	$r^2 = 0.62$
$\Delta AICc = 48.1$	(+0.14)	(+0.25)	(+0.13)	(+0.10)	(+0.08)
	$\Delta AICc = 44.06$	$\Delta AICc = 0.00$	$\Delta AICc = 42.55$	$\Delta AICc = 46.28$	$\Delta AICc = 47.22$
Plants	Cubic	Quadratic	Cubic	Quadratic	Quadratic
$r^2 = 0.45$	$r^2 = 0.48$	$r^2 = 0.54$	$r^2 = 0.52$	$r^2 = 0.54$	$r^2 = 0.46$
$\Delta AICc = 8.94$	(+0.02)	(+0.09)	(+0.06)	(+0.09)	(+0.00)
	$\Delta AICc = 6.00$	$\Delta AICc = 0.00$	$\Delta AICc = 5.97$	$\Delta AICc = 2.99$	$\Delta AICc = 8.82$
Ants	Quadratic	Ouadratic	Quadratic	Quadratic	Quadratic
$r^2 = 0.66$	$r^2 = 0.64$	$r^2 = 0.61$	$r^2 = 0.64$	$r^2 = 0.68$	$r^2 = 0.62$
$\Delta AICc = 6.58$	(-0.02)	(-0.05)	(-0.02)	(+0.03)	(-0.03)
	$\Delta AICc = 5.92$	$\Delta AICc = 0.00$	$\Delta AICc = 3.91$	$\Delta AICc = 0.80$	$\Delta AICc = 4.70$
Amphibians	Cubic	Quadratic	Quadratic	Quadratic	Quadratic
$r^2 = 0.47$	$r^2 = 0.53$	$r^2 = 0.58$	$r^2 = 0.54$	$r^2 = 0.50$	$r^2 = 0.52$
$\Delta AICc = 14.97$	(+0.06)	(+0.12)	(+0.07)	(+0.04)	(+0.06)
	$\Delta AICc = 10.64$	$\Delta AICc = 0.00$	$\Delta AICc = 9.60$	$\Delta AICc = 12.47$	$\Delta AICc = 11.84$
Reptiles	Cubic	Cubic	Quadratic	Quadratic	Quadratic
$r^2 = 0.28$	$r^2 = 0.35$	$r^2 = 0.46$	$r^2 = 0.34$	$r^2 = 0.28$	$r^2 = 0.37$
$\Delta AICc = 26.28$	(+0.06)	(+0.18)	(+0.06)	(+0.00)	(+0.09)
	$\Delta AICc = 19.58$	$\Delta AICc = 0.00$	$\Delta AICc = 17.66$	$\Delta AICc = 20.94$	$\Delta AICc = 16.71$
Fishes	Cubic	Quadratic	Cubic	Quadratic	Quadratic
$r^2 = 0.29$	$r^2 = 0.40$	$r^2 = 0.56$	$r^2 = 0.49$	$r^2 = 0.43$	$r^2 = 0.41$
$\Delta AICc = 43.27$	(+0.11)	(+0.27)	(+0.20)	(+0.13)	(+0.12)
	$\Delta AICc = 31.15$	$\Delta AICc = 0.00$	$\Delta AICc = 18.89$	$\Delta AICc = 30.18$	$\Delta AICc = 28.83$
Birds	Quadratic	Cubic	Ouadratic	Ouadratic	Quadratic
$r^2 = 0.52$	$r^2 = 0.61$	$r^2 = 0.70$	$r^2 = 0.62$	$r^2 = 0.62$	$r^2 = 0.57$
$\Delta AICc = 39.62$	(+0.08)	(+0.17)	(+0.09)	(+0.10)	(+0.05)
	$\Delta AICc = 27.34$	$\Delta AICc = 0.00$	$\Delta AICc = 20.62$	$\Delta AICc = 19.44$	$\Delta AICc = 30.29$
Mammals	Quadratic	Quadratic	Quadratic	Ouadratic	Quadratic
$r^2 = 0.53$	$r^2 = 0.61$	$r^2 = 0.60$	$r^2 = 0.65$	$r^2 = 0.64$	$r^2 = 0.57$
$\Delta AICc = 12.99$	(+0.07)	(+0.07)	(+0.11)	(+0.11)	(+0.03)
	$\Delta AICc = 7.89$	$\Delta AICc = 6.56$	$\Delta AICc = 0.16$	$\Delta AICc = 0.00$	$\Delta AICc = 12.58$
Spiders	Ouadratic	Ouadratic	Ouadratic	Ouadratic	Ouadratic
$r^2 = 0.36$	$r^2 = 0.47$	$r^2 = 0.59$	$r^2 = 0.50$	$r^2 = 0.57$	$r^2 = 0.44$
$\Delta AICc = 26.33$	(+0.11)	(+0.23)	(+0.14)	(+0.22)	(+0.08)
	$\Delta AICc = 16.23$	$\Delta AICc = 0.00$	$\Delta AICc = 11.53$	$\Delta AICc = 6.39$	$\Delta AICc = 19.94$
Proactive national	Quadratic	Quadratic	Quadratic	Quadratic	Quadratic
capacity	$r^2 = 0.32$	$r^2 = 0.16$	$r^2 = 0.25$	$r^2 = 0.47$	$r^2 = 0.23$
$\Delta AICc = 28.28$	$\Delta AICc = 5.92$	$\Delta AICc = 18.89$	$\Delta AICc = 17.26$	$\Delta AICc = 0.00$	$\Delta AICc = 15.40$
Reactive national	Quadratic	Quadratic	Quadratic	Quadratic	Quadratic
capacity	$r^2 = 0.11$	$r^2 = 0.26$	$r^2 = 0.16$	$r^2 = 0.33$	$r^2 = 0.15$
$\Delta AICc = 18.53$	$\Delta AICc = 15.26$	$\Delta AICc = 0.00$	$\Delta AICc = 12.62$	$\Delta AICc = 2.30$	$\Delta AICc = 12.62$

643 Figures



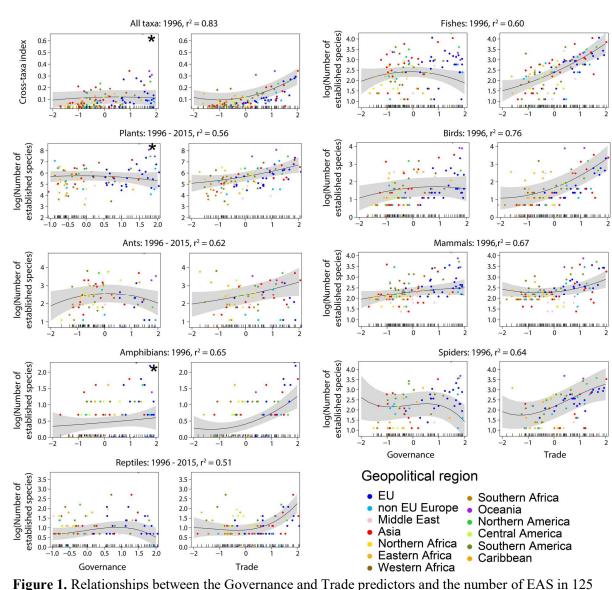


Figure 1. Relationships between the Governance and Trade predictors and the number of EAS in 125
countries, when both predictors were included in linear mixed-effects models. For each taxonomic group,

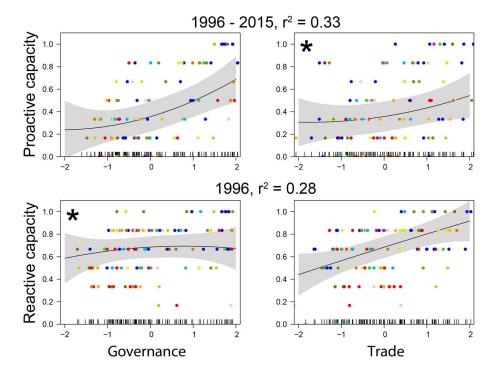
648 the year or combination of years generating the lowest AICc were selected, and the marginal r^2 is

649 reported. The number of EAS was controlled for by country area, sampling effort, mean annual

650 temperature and total annual precipitation. Different colors indicate geopolitical regions the countries

- belong to. Asterisks indicate that a predictor did not improve a model (i.e. a single-predictor model had
- 652 lower AICc than a two-predictor model).
- 653

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655

657 Figure 2. Relationships between Governance and Trade, and national capacities to mitigate the impacts of

biological invasions, when both predictors were included in linear mixed models. The year or

659 combination of years generating the lowest AICc were selected, the marginal r^2 is reported. Different

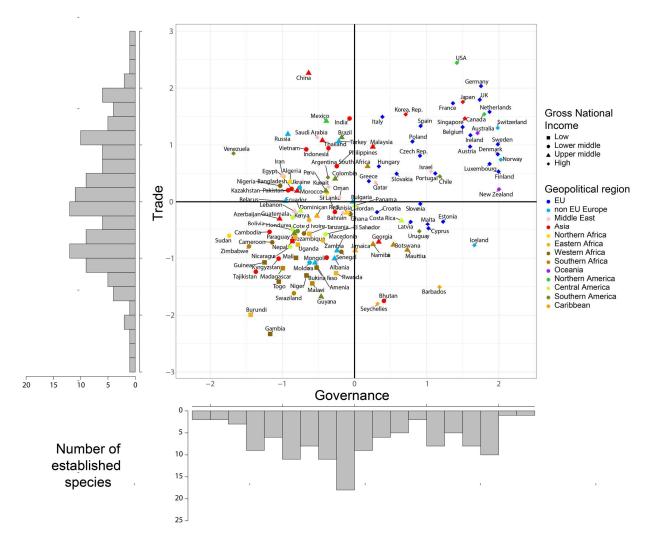
660 colors indicate the geopolitical regions countries belong to (for legend, see Figure 1). Asterisks indicate

that the predictor did not significantly explain established alien species richness (i.e. when linear models

with a single predictor generated a lower AIC than when both predictors were included). Rug plots on the

663 inside of the X-axes show the distributions of the data points along individual predictor gradients.

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670 Figure 3. The 125 countries organized in the two-dimensional socio-economic space based on recent

671 (2015) Governance and Trade data. The histograms show the distribution of countries based on

672 Governance and Trade. The bold horizontal and vertical lines indicate the origin axes, which correspond

to the centroid of the country distribution. Gross National Income categories are based on the World Bankclassification (36).

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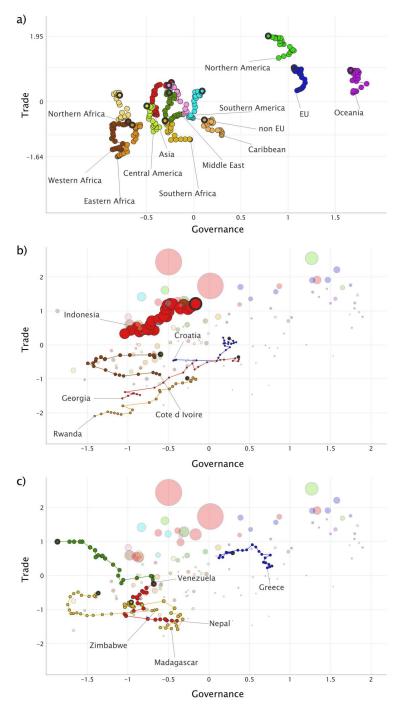


Figure 4. Changes in Governance and Trade between 1996 and 2018 for 125 countries. a) Average
changes for main geopolitical regions of the world. b) Changes for countries with the largest increase of
Governance between 1996 and 2018. c) Changes for countries with the largest decrease of Governance
between 1996 and 2018. Region and country names point towards positions in 1996, and thick bubbles
represent positions in 2018. Bubble size illustrates human population size. Visualizations were created
using Gapminder (www.gapminder.org).